Attorney Docket no: SEL 238

IN THE UNITED STATES PATENT	AND TRADEMARK OFFICE
In re Application of:	)
	)
Hiroki et al.	)
	)
Serial No.: 09/776,472	)
	)
Filed: February 2, 2001	)
	)
For: Thin Film Formation Apparatus	)
w	)
Examiner: James Lin	)
	)
Art Unit: 1762	)

## INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. §1.97, as revised on February 4, 1992, 1135 OG 23-24, Applicant hereby call the Examiner's attention to documents listed on the attached form, which documents may be material to the examination of this application. A copy of each of the non U.S> patent documents is enclosed herewith for the Examiner's consideration.

No inference should be drawn that any apparatus disclosed is equivalent to the subject invention.

The citation of the above-discussed documents is not to be construed as an assertion that more pertinent art could not possibly be in existence. Citation of any document herein is not to be construed as an admission that any subject matter disclosed in the document is necessarily within the inventive field of endeavor, that any disclosure is necessarily prior in time to a particular date which may be relevant to the instant patent application, and/or that any disclosure

is otherwise necessarily prior art with respect to the instant invention.

Applicant also respectfully reserves the right to later set forth how the instant invention is distinguished over the disclosure of any document or other art, including the disclosure of those documents discussed herein, that may be cited by the Examiner in rejecting a claim in the instant patent application.

As a RCE is being filed herewith, no fee is believed due for this IDS. If a fee should be due, please charge our Deposit Account No. 50/1039.

Respectfully submitted,

Date: November 8,2006

Mark J. Murphy

Registration No. 34,225

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Substitute for form 1449A/PTO				Co	mplete if Known	
	Substitute to form 1445/VF TO			Application Number	09/776,472	
- 1	INFORMATION DIS	CLC	SURE	Filing Date	February 2, 2001	
	STATEMENT BY A	PL	ICANT	First Named Inventor	Hiroki et al.	
				Art Unit	1762	
	(Use as many sheets as ne	cessar	y)	Examiner Name	James Lin	
Sheet	11	of	2	Attorney Docket Number	SEL 238	

			U. S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No 1	Document Number  Number-Kind Code <sup>2</sup> Id Answn)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages. Columns. Lines, Where Relevant Passages or Relevant Figures Appear
		us- 4,357,557	11-02-1982	Inohara et al.	
	$\top$	us- 5,869,929	02-09-1999	Eida et al.	
	T	US-			
		US-			
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FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No '	Foreign Patent Document  Country Code <sup>3</sup> "Number <sup>4</sup> "Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages. Columns. Lines. Where Relevant Passages or Relevant Figures Appear	T°	
	1	WO 1999/039224	08-05-1999	PCT			
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Examiner Signature	Date Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered include copy of this form with next communication to applicant 'Applicants' unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at <a href="https://www.pbj.org/">www.pbj.org/</a> or MPEP 901 04 <sup>2</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST 3) <sup>2</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document <sup>3</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST 16 if possible <sup>4</sup>Applicant is to place a check mark here if English language Translation is attached

This collection of information is required by 37 CFR 1 97 and 1 98 The Information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application Confidentiality is governed by 35 U SC 122 and 37 CFR 1 14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO Time will vary depending upon the individual case Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden. should be sent to the Chief Information Officer, U S Patent and Trademark Office. U S Department of Commerce, P.O. Box 1450. Alexandria. VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Substitute for form 1449B/PTO			Complete if Known			
			Application Number	09/776,472		
ı	NFORMATION DISC	CLOS	URE	Filing Date	February 2, 2001	
•	STATEMENT BY AP	PLICA	ANT	First Named Inventor	Hiroki et al	
				Art Unit	1762	
(Use as many sheets as necessary)		Examiner Name	James Lin			
She	eet 2	of	2	Attorney Docket Number	SEL 238	

	NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No 1	Include name of the author (in CAPITAL LETTERS). title of the article (when appropriate), title of the item (book. magazine, journal, serial. symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher. city and/or country where published.	T²		
	1	Specification for Serial No. 09/685,698; filed October 10, 2000			
			<u> </u>		

Examiner Date Considered		Υ	T	
Signature Considered	Examiner	Date		
	Signature			

<sup>•</sup> EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not

<sup>\*</sup> EXAMINER: Initial if reference considered, whether or not criation is in conformance with where 909 braw the through clatton in to the considered include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional) <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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Atty. Docket No. SEL 238

<u>Serial No.</u> 09/776,472

<u>Applicant</u> Masaaki HIROKI et

Group 1762

<u>Filing Date</u> February 2, 2001

	US PATENT DOCUMENTS					
*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
MBC MBC MBC MBC MBC MBC	3,484,793 5,821,003 5,972,419 6,087,771 US 2001/ 0001050 A1 6,277,679 B1 6,365,917 B1	12/16/69 10/13/98 10/26/99 07/11/00 05/10/01 08/21/01 04/02/02	Weigl Uemura et al Roitman Roitman Miyashita et al Ohtani Yamazaki	347 428 427 313 428 438 257	2 690 66 503 690	05/02/66 09/20/96 06/13/97 08/31/99 12/08/00 11/24/99 11/16/99
1180 1180 1180	6,373,453 B1 6,380,007 B1 US 2002/ 0075422 A1	04/16/02 04/30/02 <del>05/10/02</del> 6/20/	Yudasaka Koyama Kimura et al	345 438 349	76 151 43	04/20/99 12/22/99 07/10/01
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Atty. Docket No. SEL 238	<u>Serial No.</u> 09/776,472
<u>Applicant</u> Hiroki et al	
Filing Date February 2, 2001	Group 1762

## US PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
5t -	5,189,405 5,608,251 5,620,905 5,844,538 5,920,080 6,134,578 US 2001/ 0023661 Al 6,833,668 Bl US 2005/ 0082970 Al	02/23/93 03/04/97 04/15/97 12/01/98 07/06/99 10/17/00 09/27/01 12/21/04 04/21/05	Yamashita et al Konuma et al Konuma et al Shiraki et al Jones Ehlig et al Hiroki et al Yamada et al Yamazaki et al	340 257 438 345 257 718 118 313 313	781 337 163 98 40 100 300 505 506	12/23/91 04/02/96 03/29/95 12/23/94 05/08/98 05/02/96 02/02/01 09/07/00 10/26/04

## FOREIGN PATENT DOCUMENTS

		L CULAN	ON LIMITAL DOGGIAZIA			
	DOCUMENT NUMBER	DATE	APPLICANT	English Abstract	English Trans.	
JL I	JP 07-135323	05/23/95	Semiconductor Energy Lab Co Ltd	х		
$  \psi  $	JP 08-166775 JP 2000- 173766	06/25/96 06/23/00	Sharp Corp Sanyo Electric Co Ltd	X X		

## OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

(Include name of author (in CAPITAL LETTERS), title of article or item (book, magazine, journal, serial, symposium, catalog, etc.) date, pages(s), volume-issue number(s), publisher, city and/or country where published).

JL	1) US Patent Application no. 09/685,912 (pending) to Yamazaki et al, including specification, abstract, claims, drawings and PTO filing receipt. (filed 10/10/2000)
JL	receipt. (filed 10/10/2000) 2) US Patent Application no. 11/399,024 (pending) to Yamazaki et al,

2) US Patent Application no. 11/399,024 (pending) to Yamazaki et al, including specification, abstract, claims, drawings and PTO filing receipt. (-h/ed 4/5/2006)

EXAMINER: /James Lin/ DATE CONSIDERED: 08/04/2006